



**Louisiana Medicaid  
Management Information Systems  
(LA MMIS)  
Batch Pharmacy Encounters Companion  
Guide**

**Version 1.11**

## **Molina Medicaid Solutions and the Louisiana Department of Health and Hospitals**

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## PROJECT INFORMATION

**Document Title** Louisiana Medicaid Management Information System (LA MMIS) – Batch Pharmacy Encounters Companion Guide

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### Revision History

| Date       | Section   | Description of Change  | By          |
|------------|-----------|--|-------------|
| 11/06/2014 | All       | Changed version to 1.7   | R. Fillmore |
| 11/06/2014 | 7.1       | Changed BYU to MCO in the value column for field 993-A7 Internal Control Number:   | R. Fillmore |
| 11/07/2014 | All       | Final draft  | R. Fillmore |
| 4/07/2015  | 7.1       | Added required field 481-HA Flat Sales Tax Amount Submitted, to the Pricing Segment. DHH approved by Sue Fontenot  | R. Fillmore |
| 4/13/2014  | 7.1       | Changed the date from 10/1/2014 to 10/1/2015 in fields 492-WE and 424-DO for the ICD-9 to ICD-10 transition. DHH approved by Sue Fontenot  | R. Fillmore |
| 4/13/2015  | All       | Changed version to 1.8   | R. Fillmore |
| 9/17/2015  | 7.2       | Added the COB segment as required to the reversal transaction  | R. Fillmore |
| 10/26/2015 | 7.1 & 7.2 | Added additional reporting instructions in the COB Segments  | R. Fillmore |
| 10/26/2015 | All       | Changed version to 1.9   | R. Fillmore |
| 1/13/2015  | All       | All changes are in red including version updated to 1.10   | R. Fillmore |
| 9/17/2016  | All       | All text returned to black   | R. Fillmore |
| 9/17/2016  | 7.1 & 7.2 | Changed Fill Number field 403-D3 value from 00-99 to 00-11   | R. Fillmore |
| 12/1/2016  | 7.1 & 7.2 | Added the following text in the comment section of field 420-DK," R: Code 09 must be submitted in the first occurrence for all NCPDP records. O: Submit code 20 in the second occurrence for 340B records."  | R. Fillmore |
| 9/26/2017  | 7.1 & 7.2 | Added MCO ICN format instructions for field 993-A7 Internal Control Number in the COB Segment.   | R. Fillmore |
| 3/19/2018  | 7.1       | Added ( 00 = Unspecified ) to the list of valid values for field 490-UE Compound Ingredient Basis of Cost Determination.   | R. Fillmore |
| 6/13/2018  | 2.0 & 4.1 | Lift 11063 Denied Pharmacy Encounters:<br><br>Changed item #3 to indicate encounters to be submitted with the .NCP file extension, & added #4 to indicate encounters that can be submitted with the .NCD file extension in Section 2.0 – General Information<br><br>Added the following bulleted item to the existing list, "Each file may contain no more than 20,000 encounters." & changed the bulleted item indicating the max encounters per day from 35,000 to 60,000 in section 4.1 –File Transmission Protocols.<br><br>Updated document version to 1.11 | R. Fillmore |
| 10/30/2018 | 7.1 & 7.2 | Added "Value Added Service" types to Character 1 of the MCO ICN format instructions for field 993-A7 Internal Control Number in the COB Segment.   | R. Fillmore |
| 3/23/2020  | 7.1       | Added field 423-DN Basis of Cost Determination to the AM11 Pricing Segment.  | R. Fillmore |
|            |           |  |             |

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## 1.0 INTRODUCTION

To implement the Batch Standard for Pharmacy Encounters, the NCPDP Batch Standard Implementation Guide Version 1.1 is used. Since the Batch Standard uses the data elements, parsing routine and many of the rules of the Telecommunication Standard,

The following are used:

- Telecommunication Standard Implementation Guide (for transactions, segments, fields, rules)
- Data Dictionary (for field definitions and formats)
- External Code List (for field values)

These documents are available to NCPDP members at the Standards Download page [http://www.ncdpd.org/members/members\\_download.aspx](http://www.ncdpd.org/members/members_download.aspx). Information on becoming an NCPDP member which includes all documents published is available at <http://www.ncdpd.org/signup.aspx>.

The Batch Standard uses the same syntax, formatting, data set, and rules as the real time Telecommunication Standard. The Batch Standard “wraps” the Telecommunication Standard around a detail record, adding a batch header and trailer.

The Batch, consisting of Header, Detail Data Records, and Trailer are formed into a batch file.

The Transaction Header Segment contains fixed length fields. The rest of the segments in the request (such as Patient Segment, Insurance Segment, Claim Segment, Response Status Segment, Response Claim Segment, Etc.) are variable segments with variable fields (where applicable) and variable field lengths.

Two acknowledgement transactions for each transmission will be returned. The first acknowledgement, in TA1 format, acknowledges receipt of the transmission. If errors are reported in this acknowledgement they must be corrected and the transmission file re-submitted. The second acknowledgement is a report, in html format, that will detail any syntax, semantic or companion guide specific errors. If errors are reported in this acknowledgement they must be corrected and the transmission file re-submitted using a different 806-5C Batch number to avoid a duplicate transmission condition.

## 2.0 GENERAL INFORMATION

The following restrictions or qualifications apply:

1. Submitters using the Medicaid Batch Pharmacy system are required to transmit their encounter files through our sFTP site.
2. All records must be completed according to the record specifications in this manual. All appropriate data validity and relationship edits are expected to be performed before a transaction is generated.
3. New paid encounters, resubmitted encounters that were denied by Louisiana Medicaid, or encounter reversals (Voids) can be submitted via Batch using the .NCP file extension.
4. Denied encounters that were denied by the MCO can be submitted via Batch using the .NCD file extension. Submitted MCO denied encounters files must only contain MCO denied encounters.

### 3.0 POLICIES AFFECTING SUBMISSIONS

The following policies are in addition to those outlined in the provider handbook and in no way supersede those publications:

1. The required edits, submission standards, and data specifications as outlined in this manual must be fulfilled and maintained by all submitters transmitting encounters through batch pharmacy.
2. At any time, an authorized representative of the Louisiana Medicaid program, the Attorney General, U.S. Department of Health and Human Services, the General Accounting Office, or their agents or assignees can request supportive documentation to ensure that all requirements are met (e.g., program listings, flowcharts, file descriptions, accounting procedures). At any time, the regulatory agents listed above can request actual information used to bill Louisiana Medicaid encounters through batch pharmacy (e.g., provider files, recipient files, reference files, pricing files) whether maintained on physical media such as a computer listing or stored on a machine readable media such as magnetic tape. All information thus obtained will be held in strictest confidence.
3. All information supplied by the Department of Health and Hospitals (DHH) or Molina Medicaid Solutions within the computing and accounting systems of a submitter (e.g., master files, provider files, recipient files, reference files, and statistical data) can be used only in the accurate accounting of encounters containing or referencing that information. Any redistribution or dissemination of that information for any purpose other than the accurate accounting of Medicaid encounters is considered an illegal use of confidential information.
4. At any time, DHH or Molina Medicaid Solutions can choose to review any or all encounters received through batch pharmacy and can reject or disallow any encounter subsequent to such review.
5. DHH or Molina Medicaid Solutions reserves the right to view the processing of Medicaid encounters. This consists of an on-site check or validation of edit requirements through utilization of DHH or Molina Medicaid Solutions test encounters with embedded errors.

## **4.0 BATCH PHARMACY COMMUNICATIONS SPECIFICATIONS**

### **4.1 File Transmission Protocols**

- Submitters must transmit batch pharmacy encounter files through sFTP. Please refer to the sFTP companion guide for details.

- Submitters may submit up to 10 NCPDP encounter batched transaction files per day.
- Each file may contain no more than 20,000 encounters.
- Submitters may submit up to 60,000 encounters per day



## 5.0 TRANSACTION SYNTAX CONVENTIONS

Following is a list of the data elements, field names, and field positions for batch pharmacy encounters.

For multiple prescription encounters, the Patient and Insurance segments are included only once per Transaction (G1) record and the other segments (Claim, COB, Pricing ...) are repeated for each prescription.

Standard COBOL documentation is used for transaction descriptions. The following definitions are given to ensure consistency of interpretation:

- **FIELD** - The NCPDP data element number for a given transaction.
- **FIELD NAME** - The short definition, name, or literal constant of the data located within the transaction at the positions indicated.
- Transaction sections comprising fixed and optional portions are kept separate with the use of a Segment Separator character (HEX 1E). In addition to the Segment Separator character, the Group Separator character (HEX 1D) is used before the Claim Segment.
- **PICTURE (PIC)** -The COBOL "PICTURE" clause that describes how the data is presented on the transmission.

X = an alphanumeric character

9 = a numeric character

S = the field is signed (+ or -)

V = an implied decimal point

( ) = The character in front of the left parentheses is repeated the number of times between the parentheses, i.e., X(5) represents the same PICTURE as XXXXX.

- **TYPE** - The type of data in the field.

A/N - Alphanumeric - Always left-justified and space filled.

A - Alphabetic characters only – Always left-justify and space filled as needed.

N - Numeric

- **COMMENTS OR FROM/TO**

- **FROM** - The beginning physical character position of the field.

- **TO** - The last physical character position of the field.

- **NCPDP Requirement** – This field indicates how the data element is labeled in the NCPDP implementation guide.
- **DHH Requirement** – This field indicates if the data in this field is needed for the encounter to process correctly.
- **Designation Legend:**
  - M Mandatory – The field is mandatory for the Segment/Transaction. Mandatory elements have structural requirements.
  - R Required – The field must be present.
  - Q Qualified Requirement – The situations designated have qualifications for usage.
  - O Optional – The field is conditional. In the future, this field could be required.
- **Comments** – This field offers explanations for the data requirements.

## 6.0 BATCH PHARMACY ENCOUNTER RECORD FORMATS

Encounter Submissions consist of Encounter Requests and Encounter Reversals/voids.

### TRANSMISSION HEADER RECORD: Mandatory – Fixed Length Fields

| Field  | Field Name   | PIC   | TYPE | VALUE  | FROM/TO |    | NCPDP<br>Requirement | DHH<br>Requirement | Comments |
|--------|--|-------|------|--|---------|----|----------------------|--------------------|----------|
| 880-K4 | Text Indicator   | X(01) | A/N  | Start of Text (STX) = X'02' (Hex 02)                       | 1       | 1  | M                    | M                  |          |
| 701    | Segment Identification   | X(02) | A/N  | 00 = File Control (header)                                 | 2       | 3  | M                    | M                  |          |
| 880-K6 | Transmission Type<br><br>*Part of External Code List under D.0 | X(01) | A/N  | T = Transaction  | 4       | 4  | M                    | M                  |          |
| 880-K1 | Sender ID  | X(24) | A/N  | To be defined by processor/switch. Plan's EDI Submitter ID | 5       | 28 | M                    | M                  |          |
| 806-5C | Batch Number   | 9(07) | N    | Matches Trailer. Must be unique for every batch.           | 29      | 35 | M                    | M                  |          |
| 880-K2 | Creation Date  | 9(08) | N    | Format = CCYYMMDD  | 36      | 43 | M                    | M                  |          |
| 880-K3 | Creation Time  | 9(04) | N    | Format = HHMM  | 44      | 47 | M                    | M                  |          |
| 702    | File Type<br><br>*Part of External Code List under D.0         | X(01) | A/N  | P = production<br>T = test                                 | 48      | 48 | M                    | M                  |          |
| 102-A2 | Version/Release Number   | X(02) | A/N  | 11 = Version 1.1   | 49      | 50 | M                    | M                  |          |
| 880-K7 | Receiver ID  | X(24) | A/N  | LA-DHH-MEDICAID  | 51      | 74 | M                    | M                  |          |
| 880-K4 | Text Indicator   | X(01) | A/N  | End of Text (ETX) = X'03' Hex 03                           | 75      | 75 | M                    | M                  |          |

**TRANSACTION DETAIL DATA RECORD: Mandatory – Some Fixed Length Fields**

| Field  | Field Name                   | PIC   | TYPE | VALUE                                | FROM/TO |        | NCPD Requirement | DHH Requirement | Comments |
|--|------------------------------|-------|------|--------------------------------------|---------|--------|------------------|-----------------|----------|
| 880-K4   | Text Indicator               | X(01) | A/N  | Start of Text (STX) = X'02' (Hex 02) | 1       | 1      | M                | M               |          |
| 701  | Segment Identifier           | X(02) | A/N  | G1 = Detail Data Record              | 2       | 3      | M                | M               |          |
| 880-K5   | Transaction Reference Number | X(10) | A/N  | To be determined by the Provider     | 4       | 13     | M                | M               |          |
| <b>See the following sections of this document for the NCPDP D.0 Data Record</b><br><br><b>Section 7.1 for the B1 Original encounter</b><br><br><b>Section 7.2 for the B1 Reversal(Void) encounter</b> |                              |       |      |                                      |         |        |                  |                 |          |
| 880-K4   | Text Indicator               | X(01) | A/N  | End of Text (ETX) = X'03' Hex 03     | varies  | varies | M                | M               |          |

**TRANSMISSION TRAILER RECORD: Mandatory – Fixed Length Fields**

| Field  | Field Name             | PIC   | TYPE | VALUE  | FROM/TO |    | NCPD Requirement | DHH Requirement | Comments |
|--------|------------------------|-------|------|--|---------|----|------------------|-----------------|----------|
| 880-K4 | Text Indicator         | X(01) | A/N  | Start of Text (STX) = X'02' (Hex 02)                 | 1       | 1  | M                | M               |          |
| 701    | Segment Identification | X(02) | A/N  | 99 = File Control (trailer)                          | 2       | 3  | M                | M               |          |
| 806-5C | Batch Number           | 9(07) | N    | Matches Header                                       | 4       | 10 | M                | M               |          |
| 751    | Record Count           | 9(10) | N    | Total number of records including header and trailer | 11      | 20 | M                | M               |          |
| 504-F4 | Message                | X(35) | A/N  |  | 21      | 55 | M                | M               |          |
| 880-K4 | Text Indicator         | X(01) | A/N  | End of Text (ETX) = X'03' Hex 03                     | 56      | 56 | M                | M               |          |

## 7.0 D.0 PHARMACY ENCOUNTER RECORD FORMATS

Encounter submissions consist of Encounter Requests and Encounter Reversals/(Voids). The following paragraphs detail this information.

**\*\*NOTE: Each field within every segment below must occur in the same sequence as listed in this companion guide.**

### 7.1 Encounter Billing Submission (Input)

#### HEADER SEGMENT: Mandatory – Fixed Length Fields

| Field  | Field Name                     | PIC   | TYPE | VALUE  | FROM/TO |    | NCPDP Requirement | DHH Requirement | Comments |
|--------|--------------------------------|-------|------|--|---------|----|-------------------|-----------------|----------|
| 101-A1 | Bin Number                     | 9(6)  | N    | This is a constant of '610514'.  | 1       | 6  | M                 | M               |          |
| 102-A2 | Version/Release Number ('D.0') | X(2)  | A    | This is a constant of "D0". This field identifies the format of the transaction.   | 7       | 8  | M                 | M               |          |
| 103-A3 | Transaction Code               | X(2)  | A    | B1 = Billing (for up to 4 claims per transaction)  | 9       | 10 | M                 | M               |          |
| 104-A4 | Processor Control Number       | X(10) | A    | The processor control number indicates whether this is a test or production transaction. Louisiana Medicaid POS Production Transaction - "LOUIPROD" followed by 2 blanks Louisiana Medicaid POS Test Transaction - "LOUITEST" followed by 2 blanks | 11      | 20 | M                 | M               |          |
| 109-A9 | Transaction Count              | X(1)  | A    | 1 = one claim in a transaction<br>2 = two claims in a transaction<br>3 = three claims in a transaction<br>4 = four claims in a transaction<br>(For a compound, the transaction count must be = 1 one claim in a transaction)                       | 21      | 21 | M                 | M               |          |

| Field  | Field Name                    | PIC   | TYPE | VALUE   | FROM/TO |    | NCPDP<br>Requirement | DHH<br>Requirement | Comments |
|--------|-------------------------------|-------|------|---|---------|----|----------------------|--------------------|----------|
| 202-B2 | Service Provider ID Qualifier | X(2)  | A    | Constant of '01' National Provider ID (NPI)   | 22      | 23 | M                    | M                  |          |
| 201-B1 | Pharmacy Number               | X(15) | A    | This will be a ten-digit National Provider ID (NPI) assigned to the billing pharmacy.<br><br>Left-justify the field with trailing spaces. | 24      | 38 | M                    | M                  |          |
| 401-D1 | Date of Service               | 9(8)  | N    | CCYYMMDD format   | 39      | 46 | M                    | M                  |          |
| 110-AK | Vendor/Certification ID       | X(10) | A    | BATCH-O = Original Encounter Claim  | 47      | 56 | M                    | M                  |          |

**PATIENT SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

| Field  | Field Name             | PIC   | TYPE | VALUE   | NCPDP Requirement | DHH Requirement | Comments  |
|--------|------------------------|-------|------|---|-------------------|-----------------|---|
| 111-AM | Segment Identification | X(2)  | A    | '01' – Patient  | M                 | M               |   |
| 304-C4 | Date of Birth          | 9(8)  | N    | CCYYMMDD format   | R                 | R               |   |
| 305-C5 | Patient Gender Code    | 9(1)  | N    | 1 = Male<br>2 = Female<br>0 = Unknown   | R                 | R               |   |
| 310-CA | Patient First Name     | X(12) | A    | Up to 12 characters<br>The first name of the Medicaid recipient for whom the prescription was written. Note: The first name may contain embedded special characters, e.g., the name L'Miracle is keyed L'MIRACLE. Left-justify the field with trailing spaces   | Q                 | R               | <b>*Required by Molina to properly adjudicate encounter.</b>                            |
| 311-CB | Patient Last Name      | X(15) | A    | Up to 15 characters<br>The last name of the Medicaid recipient for whom the prescription was written. Note: The last name may contain embedded special characters, e.g., the name O'Brien is keyed O'BRIEN. Left-justify the field with trailing spaces.  | R                 | R               |   |
| 307-C7 | Place of Service       | 9(2)  | N    | 01 - Pharmacy**<br>03 - School<br>04 - Homeless Shelter<br>05 - Indian Health Service Free-standing Facility<br>06 - Indian Health Service Provider-based Facility<br>07 - Tribal 638 Free-standing Facility<br>08 - Tribal 638 Provider-based Facility<br>09 - Prison/ Correctional Facility<br>11 - Office<br>12 - Home<br>13 - Assisted Living Facility<br>14 - Group Home *<br>15 - Mobile Unit<br>16 - Temporary Lodging<br>17 - Walk-in Retail Health Clinic<br>20 - Urgent Care Facility<br>21 - Inpatient Hospital<br>22 - Outpatient Hospital<br>23 - Emergency Room – Hospital<br>24 - Ambulatory Surgical Center | Q                 | Q               | If Patient Residence 384-4X = 12 then Place of Service 307-C7 = 01, this indicates PRTF |

| Field  | Field Name        | PIC  | TYPE | VALUE   | NCPDP Requirement | DHH Requirement | Comments  |
|--------|-------------------|------|------|---|-------------------|-----------------|---|
|        |                   |      |      | 25 - Birthing Center<br>26 - Military Treatment Facility<br>31 - Skilled Nursing Facility<br>32 - Nursing Facility<br>33 - Custodial Care Facility<br>34 - Hospice<br>41 - Ambulance - Land<br>42 - Ambulance – Air or Water<br>49 - Independent Clinic<br>50 - Federally Qualified Health Center<br>51 - Inpatient Psychiatric Facility<br>52 - Psychiatric Facility-Partial Hospitalization<br>53 - Community Mental Health Center<br>54 - Intermediate Care Facility/Mentally Retarded<br>55 - Residential Substance Abuse Treatment Facility<br>56 - Psychiatric Residential Treatment Center<br>57- Non-residential Substance Abuse Treatment Facility<br>60 - Mass Immunization Center<br>61 - Comprehensive Inpatient Rehabilitation Facility<br>62 - Comprehensive Outpatient Rehabilitation Facility<br>65 - End-Stage Renal Disease Treatment Facility<br>71 - Public Health Clinic<br>72 - Rural Health Clinic<br>81 - Independent Laboratory<br>99 - Other Place of Service |                   |                 |   |
| 384-4X | Patient Residence | 9(2) | N    | 0 --Not Specified<br>01-Home<br>02-Skilled Nursing Facility<br>03-Nursing Facility<br>04-Assisted Living Facility<br>05-Custodial Care Facility<br>06-Group Home<br>07-Inpatient Psychiatric Facility<br>08-Psychiatric Facility<br>09-Intermediate Care Facility/Mentally Retarded<br>10-Residential Substance Abuse Treatment Facility<br>11-Hospice<br>12-Psychiatric Residential Treatment Facility<br>13-Comprehensive Inpatient Rehabilitation Facility<br>14-Homeless Shelter<br>15-Correctional Institution   | Q                 | Q               | If Patient Residence 384-4X = 12 then Place of Service 307-C7 = 01, this indicates PRTF |



**INSURANCE SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

| <i>Field</i> | <i>Field Name</i>              | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>Requirement</i><br>NCPDP | <i>Requirement</i><br>DHH | <i>Comments</i> |
|--------------|--------------------------------|------------|-------------|--|-----------------------------|---------------------------|-----------------|
| 111-AM       | Segment Identification         | X(2)       | A           | '04' – Insurance   | M                           | M                         |                 |
| 302-C2       | Cardholder ID                  | X(20)      | A           | <b>13-digit recipient's Medicaid ID Number. Left-justify this field with trailing spaces.</b>  | M                           | M                         |                 |
| 309-C9       | Eligibility Clarification Code | 9(1)       | N           | 0 = Not specified<br>1 = No Override<br>2 = Override<br>3 = Full Time Student<br>4 = Disabled Dependent<br>5 = Dependent Parent<br>6 = Significant Other | Q                           | Q                         |                 |
| 301-C1       | Group ID                       | X(15)      | A           | ID assigned to the cardholder group or employer group. Up to 15 characters.  | Q                           | Q                         |                 |
| 303-C3       | Person Code                    | X(3)       | A           | N/A  | Q                           | Q                         |                 |
| 306-C6       | Patient Relationship Code      | 9(1)       | N           | 0 = Not specified<br>1 = Cardholder<br>2 = Spouse<br>3 = Child<br>4 = Other  | Q                           | Q                         |                 |

**CLAIM SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| Field  | Field Name  | PIC          | TYPE | VALUE   | Requirement<br>NCPDP | Requirement<br>DHH | Comments                          |
|--------|---|--------------|------|---|----------------------|--------------------|-----------------------------------|
| 111-AM | Segment Identification                            | X(2)         | A    | '07' – Claim  | M                    | M                  | DHH requires value 07 = Claim.    |
| 455-EM | Prescription / Service Reference Number Qualifier | X(1)         | A    | Constant of "1" – Rx Billing  | M                    | M                  | DHH requires value 1 = Rx Billing |
| 402-D2 | Prescription / Service Reference Number           | 9(12)        | N    | Twelve-digit prescription number. The pharmacy's file number for this prescription.   | M                    | M                  |                                   |
| 436-E1 | Product / Service ID Qualifier                    | X(2)         | A    | Constant of "03" – National drug code (NDC)<br>(For compounds use a value of '00')  | M                    | M                  |                                   |
| 407-D7 | Product / Service ID                              | X(19)        | A    | Eleven character NDC number<br>(For compounds use a value of '0')   | M                    | M                  |                                   |
| 442-E7 | Quantity Dispensed                                | 9(7)V<br>999 | N    | Format = 9999999.999<br>9(7)V999<br>(For a compound, this is the quantity of the entire multi-ingredient product)                                       | R                    | R                  |                                   |
| 403-D3 | Fill Number                                       | 9(2)         | N    | 00 = Original dispensing<br>01-11 = Refill number   | R                    | R                  |                                   |
| 405-D5 | Days Supply                                       | 9(3)         | N    | Format = 999<br>The pharmacist's estimated number of days the quantity dispensed will last. Express in whole days and right-justify with leading zeros. | R                    | R                  |                                   |
| 406-D6 | Compound Code                                     | 9(1)         | N    | 0 = Not specified<br>1 = Not a compound<br>2 = Compound<br>If a value of 2 is indicated then the compound segment is required.                          | R                    | R                  |                                   |

| Field  | Field Name                          | PIC  | TYPE | VALUE   | Requirement<br>NCPDP | Requirement<br>DHH | Comments  |
|--------|-------------------------------------|------|------|---|----------------------|--------------------|---|
| 408-D8 | Dispense as Written (DAW)           | X(1) | A    | 0 = No Product Selection Indicated<br>*1 = Substitution Not Allowed By Prescriber<br>2 = Substitution Allowed-Patient Requested Product Dispensed<br>3 = Substitution Allowed-Pharmacist Selected Product Dispensed<br>4 = Substitution Allowed-Generic Drug Not in Stock<br>5 = Substitution Allowed-Brand Drug Dispensed as a Generic<br>6 = Override<br>7 = Substitution Not Allowed-Brand Drug Mandated By Law<br>8 = Substitution Allowed-Generic Drug Not Available in Marketplace<br>**9 = Substitution Allowed By Prescriber but Plan Requests Brand - Patient's Plan Requested Brand Product To Be Dispensed (D.0)<br><br><b>* '1' is required to override MAC pricing on a brand name drug.</b><br><br><b>** '9' is required to allow the prescriber to substitute using the PDL brand product.</b> | R                    | R                  | <b>*Required by Molina to override MAC pricing on a brand name drug.</b><br><br><b>**Required by Molina to allow the prescriber to substitute using the PDL brand product.</b>  |
| 414-DE | Date Prescription Written           | 9(8) | N    | CCYYMMDD format   | R                    | R                  |   |
| 354-NX | Submission Clarification Code Count | 9(1) | N    | Maximum count of 3.   | Q                    | R                  | Required for Batch Encounter processing.<br><br>Required if Submission Clarification Code (42Ø-DK) is used  |
| 420-DK | Submission Clarification Code       | 9(2) | N    | 09 - Encounters<br>20 - 340B  | Q                    | R                  | R: Code 09 must be submitted in the first occurrence for all NCPDP records.<br><br>O: Submit code 20 in the second occurrence for 340B records.<br><br>Occurs the number of times identified in Submission Clarification Code Count (354-NX). |

| Field  | Field Name                           | PIC   | TYPE | VALUE  | Requirement<br>NCPDP | Requirement<br>DHH | Comments  |
|--------|--------------------------------------|-------|------|--|----------------------|--------------------|---|
| 308-C8 | Other Coverage Code                  | 9(2)  | N    | This field indicates whether or not the Medicaid recipient has other health insurance coverage:<br>0 = Not specified by Patient<br>1 = No other coverage identified<br>2 = Other coverage exists<br>3 = Other Coverage Billed – claim not covered<br>4 = Other coverage exists-payment not collected   | Q                    | Q                  | <b>Optional – Specific values required for COB Edit Override</b>  |
| 429-DT | Special Packaging Indicator          | 9(1)  | N    | 0 = Not Specified<br>1 = Not Unit Dose<br>2 = Manufacturer Unit Dose<br>3 = Pharmacy Unit Dose<br>4 = Custom Packaging<br>5 = Multi-drug compliance packaging<br>6 = Remote Device Unit Dose<br>7 = Remote Device Multi<br>8 = Manufacturer Unit of Use Package (not unit dose)  | Q                    | Q                  | Optional  |
| 600-28 | Unit of Measure                      | X(02) | A/N  | EA - Each<br>GM -Gram<br>ML- Milliliter  | Q                    | R                  |   |
| 418-DI | Level of Service                     | 9(2)  | N    | 0 = Not specified<br>1 = Patient Consultation<br>2 = Home Delivery<br>3 = Emergency<br>4 = 24-hour Service<br>5 = Patient consultation regarding generic product selection<br>6 = In-Home Service  | Q                    | Q                  | Optional  |
| 461-EU | Prior Authorization Type Code        | 9(2)  | N    | 0 = Not specified<br>1 = Prior Authorization<br>2 = Medical Certification<br>3 = EPSDT (Early Periodic Screening Diagnosis Treatment)<br>4 = Exemption from Copay and/or Coinsurance<br>5 = Exemption from RX<br>6 = Family Plan Indic.<br>7 = AFDC (Aid to Families with Dependent Children)<br><b>8 = Payer Defined Exemption **</b><br><br><b>** See Louisiana specific note.</b> | Q                    | Q                  | Optional<br><b>**Data element 461-EU (Prior Authorization Type Code) value 8 (“Payer Defined Exemption”) will be used to determine pregnancy. Data element 335-2C Pregnancy Indicator will not be referenced.</b> |
| 462-EV | Prior Authorization Number Submitted | 9(11) | N    | Eleven characters.<br>461-EU and 462-EV together replace version 3C’s 416 PA/MC Code and Number.   | Q                    | Q                  |   |

**COMPOUND SEGMENT: Optional Segment (Required if field 406-D6 Compound Code is indicated as a compound with a value of 2)**

Only one transaction per transmission is allowed when billing for a multi-ingredient prescription. A Compound is submitted using the Compound segment with multiple iterations of the Compound Product ID Qualifier, Compound Product ID and other repeating fields – one iteration for each ingredient in the compound. This transaction allows the pharmacy to submit any/all of the ingredients included in the preparation of the compound. Each ingredient of a compound is contained within the iterations of the Compound Segment **within a transaction**. Each ingredient is **not allowed** to be sent in separate transactions of a transmission.

(Each field will be preceded with a Field Separator and a Field Identifier.)

| Field  | Field Name                              | PIC  | TYPE | VALUE   | NCPDP Requirement | DHH Requirement | Comments                                       |
|--------|---|------|------|---|-------------------|-----------------|--|
| 111-AM | Segment Identification                  | X(2) | A/N  | '10' – Compound   | M                 | M               | Required if 406-D6 has a value of 2 = Compound |
| 450-EF | Compound Dosage Form Description Code   | X(2) | A/N  | 01 = Capsule<br>02 = Ointment<br>03 = Cream<br>04 = Suppository<br>05 = Powder<br>06 = Emulsion<br>07 = Liquid<br>10 = Tablet<br>11 = Solution<br>12 = Suspension<br>13 = Lotion<br>14 = Shampoo<br>15 = Elixir<br>16 = Syrup<br>17 = Lozenge<br>18 = Enema | M                 | M               | (non-repeating)                                |
| 451-EG | Compound Dispensing Unit Form Indicator | 9(1) | N    | 1 = Each<br>2 = Grams<br>3 = Milliliters  | M                 | M               | (non-repeating)                                |
| 447-EC | Compound Ingredient Component Count     | 9(2) | N    | Count of compound product IDs (both active and inactive) in the compound mixture submitted.<br>Max count of 25 ingredients  | M                 | M               | (non-repeating)                                |
| 488-RE | Compound Product ID Qualifier           | X(2) | A/N  | 03 = National Drug Code (NDC)<br>Code qualifying the type of product dispensed.<br>For LA Encounters it must be a value of 03   | M                 | M               | (repeating)                                    |

| Field  | Field Name                                      | PIC      | TYPE | VALUE   | NCPDP Requirement | DHH Requirement | Comments   |
|--------|---|----------|------|---|-------------------|-----------------|--|
| 489-TE | Compound Product ID                             | X(19)    | A/N  | NDC of an ingredient used in a compound-  | M                 | M               | (repeating)<br>Must be the NDC for Encounters                                    |
| 448-ED | Compound Ingredient Quantity                    | 9(7)v999 | N    | Amount expressed in metric decimal units of the product included in the compound mixture.   | M                 | M               | (repeating)  |
| 449-EE | Compound Ingredient Drug Cost                   | S9(6)v99 | D    | Ingredient cost for the metric decimal quantity of the product included in the compound mixture indicated in 'Compound Ingredient Quantity' (Field 448-ED).   | Q                 | R               | (repeating)  |
| 490-UE | Compound Ingredient Basis of Cost Determination | X(2)     | A/N  | 00 = Unspecified<br>01 = AWP (Average Wholesale Price)<br>02 = Local Wholesaler<br>03 = Direct<br>04 = EAC (Estimated Acquisition Cost)<br>05 = Acquisition<br>06 = MAC (Maximum Allowable Cost)<br>07 = Usual & Customary<br><b>*08 = 340B Disproportionate Share Pricing</b><br>09 = Other<br>10 = ASP (Average Sales Price)<br>11 = AMP (Average Manufacturer Price)<br>12 = WAC (Wholesale Acquisition Cost)<br><br>Code indicating the method by which the drug cost of an ingredient used in a compound was calculated. | Q                 | R               | (repeating)<br><b>*Required by Payer to properly adjudicate a 340B encounter</b> |

**PHARMACY PROVIDER SEGMENT: Required IF a vaccine was administered.**

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| <i>Field</i> | <i>Field Name</i>      | <i>PIC</i> | <i>TYP<br/>E</i> | <i>VALUE</i>  | <i>Requirement<br/>NCPDP</i> | <i>Requirement<br/>DHH</i> | <i>Comments</i>   |
|--------------|------------------------|------------|------------------|---|------------------------------|----------------------------|---|
| 111-AM       | Segment Identification | X(2)       | A                | '02' – Pharmacy Provider  | M                            | M                          |   |
| 465-EY       | Provider ID Qualifier  | X(2)       | A                | 05 = National Provider ID (NPI)<br>07 = Medicaid  | Q                            | R                          | <b>*Required by Molina to properly adjudicate an encounter for administration of the influenza vaccine by an authorized pharmacist.</b> |
| 444-E9       | Provider ID            | X(15)      | A                | A ten-digit National Provider ID (NPI). If encounter is for administration of the influenza vaccine by a pharmacist, this must be the NPI assigned to the pharmacist with Authority to Administer vaccines authorized by the Louisiana Board of Pharmacy.<br><br>The seven-digit Medicaid Provider Number assigned to the authorized pharmacist will also be allowed.<br><br>Left-justify the field with trailing spaces. | Q                            | R                          | <b>*Required by Molina to properly adjudicate an encounter for administration of the influenza vaccine by an authorized pharmacist.</b> |

**PRESCRIBER SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| <i>Field</i> | <i>Field Name</i>       | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>NCPDP Requirement</i> | <i>DH Requirement</i> | <i>Comments</i>   |
|--------------|-------------------------|------------|-------------|--|--------------------------|-----------------------|---|
| 111-AM       | Segment Identification  | X(2)       | A           | '03' – Prescriber  | M                        | M                     |   |
| 466-EZ       | Prescriber ID Qualifier | X(2)       | A           | 01 = National Provider ID (NPI)<br>05 = Medicaid   | Q                        | R                     |   |
| 411-DB       | Prescriber ID           | X(15)      | A           | <p><b>This is not a practitioner DPR number. This field is left justified with trailing spaces.</b></p> <p>If a prescriber has registered his NPI with Louisiana Medicaid, the NPI may be sent.</p> <p>Until prescriber data has been adequately disseminated, the legacy Medicaid ID will also be accepted in this field.</p> <p>When sending the legacy Medicaid ID, please note the following:<br/>The prescriber's ID must be seven digits and must begin with one of the following as assigned: '00' or '01'.</p> | Q                        | R                     | <b>*Required by Molina to properly adjudicate encounter</b> |



**COB/OTHER PAYMENTS SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

COB Reporting Instructions

Please use the following instructions for the sequence required to report COB data in NCPDP Batch Encounters:

- First COB Occurrence: MCO reporting the total amount paid as what the PBM paid the Pharmacy in **COB Segment field 431-DV of the NCPDP Encounter using 342-HC qualifier 07**. MCO Copay amount charged to the recipient is also reported in 1<sup>st</sup> COB occurrence using 351-NP Other Payer-Patient Responsibility Amount Qualifier 05, and 352-NQ Other Payer-Patient Responsibility Amount, report zero if no copay was charged.
- Second COB Occurrence: Report the Primary TPL paid amount, if applicable, in COB Segment 431-DV of the NCPDP Encounter using 342-HC qualifier 07 to report the Drug Benefit amount not including Sales Tax. Primary TPL copay does not need to be reported in the encounter. If the pharmacy doesn't provide the LA Medicaid TPL Carrier Code for Other Insurance on Pharmacy Claim records sent to the PBM, then a default value of 000000 should be used as the 340-7C (Other Payer ID) value in the NCP Encounter record.
- Third COB Occurrence: Report the amount of Sales Tax for the TPL paid amount, if applicable, in COB Segment 431-DV of the NCPDP Encounter using 342-HC qualifier 10 to report the Sales Tax amount paid.

**\*\*Note:** Subtract all taxes and provider fees paid by the primary payer before calculating the maximum allowable cost when the MCO is the secondary payer. Taxes and provider fees paid by the primary payer should not be included in the amount considered for reimbursement.

***MCO ICN Format***

The MCO's ICN must be populated in field 993-A7 (Internal Control Number). The maximum number of characters that the FI can store is 30, which includes the 4-digit prefix. The ICN that the MCO transmits in this segment is echoed back to the submitter in the 835. This permits the MCO to use the value in this field as a key in their system to match the encounter back to the information returned in the 835 transaction.

DHH requires MCOs to modify their ICN to contain a 4-digit prefix as follows:

Character 1: Claim Submission Media Type

- “P” to indicate submission of claim via paper form
- “Q” to indicate submission of a value added service via paper form
- “E” to indicate submission of claim via electronic submission
- “F” to indicate submission of value added service via electronic submission
- “W” to indicate the submission of claim via web portal
- “V” to indicate the submission of value added service submitted via web portal.

**NOTE:** The MCO must provide a Data Dictionary if other media types are submitted.

Character 2: Claim Status

The MCO, and/or sub-contractor, must indicate the status of the claim for this character position as follows:

- “P” for paid encounters
- “D” for denied encounters

**NOTE:** The MCO, and/or sub-contractor, must indicate the status of the claim for this character position.

Character 3-4: Vendor (Sub-contractor) Information

The MCO determines a two character code for each of its vendors. The MCO must provide DHH with a Data Dictionary to identify the two character code and the full name of the vendor it represents. As vendors are added or deleted, DHH must be furnished with an updated Data Dictionary.

| Field  | Field Name                                   | PIC   | TYPE | VALUE  | NCPDP Requirement | DHH Requirement | Comments   |
|--------|--|-------|------|--|-------------------|-----------------|--|
| 111-AM | Segment Identification                       | X(2)  | A    | '05' – COB/Other Payments  | M                 | M               | **Please see COB Reporting Instructions at the beginning of this section.                |
| 337-4C | Coordination of Benefits/Other Payment Count | 9(1)  | N    | <b>Maximum of 3 accepted for Louisiana.</b> One digit only   | M                 | M               |  |
| 338-5C | Other Payer Coverage Type                    | X(02) | A    | <b>Maximum of 3 accepted for Louisiana</b><br>Blank=Not Specified<br>01 = First<br>02 = Second<br>03 = Third<br>04 = Fourth<br>05 = Fifth<br>06 = Sixth<br>07 = Seventh<br>08 = Eighth<br>09 = Ninth | M                 | M               | (Repeating)<br>**Please see COB Reporting Instructions at the beginning of this section. |
| 339-6C | Other Payer ID Qualifier                     | X(2)  | A    | <b>Maximum of 3 accepted for Louisiana</b>   | Q                 | R               | (Repeating)<br>Please submit Louisiana specific  |

| Field  | Field Name                                      | PIC          | TYPE | VALUE  | Requirement<br>NCPDP | DHH<br>Requirement | Comments   |
|--------|---|--------------|------|--|----------------------|--------------------|--|
|        |   |              |      |  |                      |                    | Carrier Code with 99 Qualifier   |
| 340-7C | Other Payer ID                                  | X(10)        | A    | <b>Maximum of 3 accepted for Louisiana</b>   | Q                    | R                  | (Repeating)<br>Please send Louisiana assigned Carrier Code.<br><br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section.                            |
| 443-E8 | Other Payer Date                                | 9(8)         | N    | <b>Maximum of 3 accepted for Louisiana</b><br>CCYYMMDD format  | Q                    | R                  | (Repeating)  |
| 993-A7 | Internal Control Number                         | X(30)        | A    | Number assigned by the processor to identify an adjudicated encounter when supplied in payer-to-payer coordination of benefits only.   | Q                    | R                  | Required for Batch Encounter processing.   |
| 341-HB | Other Payer Amount Paid Count                   | 9(1)         | N    | <b>Maximum of 3 accepted for Louisiana</b>   | Q                    | R                  |  |
| 342-HC | Other Payer Amount Paid Qualifier               | X(2)         | A    | <b>Maximum of 3 accepted for Louisiana</b><br>Ø1 = Delivery<br>Ø2 = Shipping<br>Ø3 = Postage<br>Ø4 = Administrative<br>Ø5 = Incentive<br>Ø6 = Cognitive Service<br>Ø7 = Drug Benefit<br>Ø9 = Compound Preparation Cost<br>1Ø = Sales Tax | Q                    | R                  | (Repeating)<br>Please use 07=Drug Benefit for individual payments Required<br><br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section.             |
| 431-DV | Other Payer Amount Paid                         | S9(6)<br>V99 | N    | <b>Maximum of 3 accepted for Louisiana</b> Format s9(6)V99<br>It represents the dollar amount of payment known by the pharmacy from other sources. Format s\$\$\$\$cc, zero fill if no amount collected.                                 | Q                    | R                  | (Repeating)<br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section.  |
| 471-5E | Other Payer Reject Count                        | 9(2)         | N    | <b>Maximum of 5</b>  | Q                    | Q                  |  |
| 472-6E | Other Payer Reject Code                         | X(3)         | A    | <b>Maximum of 5 accepted for each Other-Payer-ID Reject Code returned (Louisiana)</b>  | Q                    | Q                  | (Repeating)  |
| 353-NR | Other Payer-Patient Responsibility Amount Count | 9(02)        | N    | Maximum count of 25.   | Q                    | R                  | <b>* Required if Other Payer-Patient Responsibility Amount Qualifier (351-NP) is used.</b><br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section. |

| Field  | Field Name  | PIC          | TYPE | VALUE                | NCPDP<br>Requirement | DDH<br>Requirement | Comments  |
|--------|---|--------------|------|----------------------|----------------------|--------------------|---|
| 351-NP | Other Payer-Patient Responsibility Amount Qualifier | X(02)        | A    | 05 = Amount of Copay | Q                    | R                  | (Repeating)<br>* <b>Required if Other Payer-Patient Responsibility Amount (352-NQ) is used.</b><br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section. |
| 352-NQ | Other Payer-Patient Responsibility Amount           | S9(6)<br>v99 | N    | Format s9(6)V99      | Q                    | R                  | (Repeating)<br>**Please <u>see COB Reporting Instructions</u> at the beginning of this section.   |

**DUR/PPS SEGMENT:** Required **IF** the segment data was present/used during processing of the transaction.

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| Field  | Field Name                | PIC  | TYPE | VALUE  | NCPDP Requirement | DHH Requirement | Comments  |
|--------|---------------------------|------|------|--|-------------------|-----------------|---|
| 111-AM | Segment Identification    | X(2) | A    | '08' – DUR/PPS   | M                 | M               |   |
| 473-7E | DUR/PPS Code Counter      | 9(1) | N    | Recommend value of "1", "2", or "3"<br>DUR/PPS Code Counter = "1" is required if encounter is for administration of the influenza vaccine by an authorized pharmacist. | Q                 | R               | (Repeating)<br><b>*Required by Molina to properly adjudicate an <u>IF</u> the segment data was present/used during the processing of the transaction.</b> |
| 439-E4 | Reason for Service Code   | X(2) | A    | Use appropriate NCPDP Codes  | Q                 | R               | (Repeating)<br><b>*Required by Molina to properly adjudicate an <u>IF</u> the segment data was present/used during the processing of the transaction.</b> |
| 440-E5 | Professional Service Code | X(2) | A    | 440-E5 value MA is required if encounter is for administration of the influenza vaccine by an authorized pharmacist.   | Q                 | R               | (Repeating)<br><b>*Required by Molina to properly adjudicate an <u>IF</u> the segment data was present/used during the processing of the transaction.</b> |
| 441-E6 | Result of Service Code    | X(2) | A    | Use appropriate NCPDP Codes  | Q                 | R               | (Repeating)<br><b>*Required by Molina to properly adjudicate an <u>IF</u> the segment data was present/used during the processing of the transaction.</b> |

**PRICING SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| Field  | Field Name                      | PIC          | TYP<br>E | VALUE  | NCPDP<br>Requirement | DHH<br>Requirement | Comments  |
|--------|---------------------------------|--------------|----------|--|----------------------|--------------------|---|
| 111-AM | Segment Identification          | X(2)         | A        | '11' – Pricing   | M                    | M                  |   |
| 409-D9 | Ingredient Cost Submitted       | S9(6)<br>V99 | N        | Format S9(6)V99<br>(For a compound, this is the sum of all individual ingredient costs)  | R                    | R                  | (*Required by Molina to properly adjudicate an encounter for cost of influenza vaccine administered by an authorized pharmacist. Only reimbursed for recipients 19 and older) |
| 412-DC | Dispensing Fee Submitted        | s9(6)<br>V99 | N        | Format S9(6)V99  | Q                    | R                  | *Required by Molina to properly adjudicate encounter.<br><br>Report any Dispensing Fee Value Submitted including Zero(0)  |
| 433-DX | Patient Paid Amount Submitted   | S9(6)<br>V99 | N        | Format S9(6)V99  | Q                    | R                  | * Required <u>IF</u> the data was present/used during the processing of the transaction   |
| 438-E3 | Incentive Amount Submitted      | S9(6)<br>V99 | N        | Format S9(6)V99<br>For an encounter for administration of the influenza vaccine by an authorized pharmacist, this field will contain the vaccine administration fee. | Q                    | R                  | * Required <u>IF</u> the data was present/used during the processing of the transaction   |
| 481-HA | Flat Sales Tax Amount Submitted | S9(6)<br>V99 | N        | \$0.10   | Q                    | R                  | Required by Molina to properly adjudicate encounter.  |
| 426-DQ | Usual and Customary Charge      | S9(6)<br>V99 | N        | Format S9(6)V99 The usual and customary charge for the prescription in s\$\$\$\$cc format.   | Q                    | R                  | Required by Molina to properly adjudicate encounter.  |
| 430-DU | Gross Amount Due                | S9(6)<br>V99 | N        | Format S9(6)V99  | R                    | R                  |   |

| Field  | Field Name                  | PIC  | TYP<br>E | VALUE  | NCPDP<br>Requirement | DHH<br>Requirement | Comments  |
|--------|-----------------------------|------|----------|--|----------------------|--------------------|---|
| 423-DN | Basis of Cost Determination | X(2) | A/N      | 00 = Unspecified<br>01 = AWP (Average Wholesale Price)<br>02 = Local Wholesaler<br>03 = Direct<br>04 = EAC (Estimated Acquisition Cost)<br>05 = Acquisition<br>06 = MAC (Maximum Allowable Cost)<br>07 = Usual & Customary<br><b>*08 = 340B Disproportionate Share Pricing</b><br>09 = Other<br>10 = ASP (Average Sales Price)<br>11 = AMP (Average Manufacturer Price)<br>12 = WAC (Wholesale Acquisition Cost) | Q                    | R                  | (Repeating)<br><b>*Required by Payer to properly adjudicate a 340B encounter.</b> |

**CLINICAL SEGMENT:** Required **IF** the segment data was present/used during processing of the transaction.

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

| Field  | Field Name               | PIC   | TYPE | VALUE   | NCPDP Requirement | DH Requirement | Comments    |
|--------|--------------------------|-------|------|---|-------------------|----------------|-------------|
| 111-AM | Segment Identification   | X(2)  | A    | '13' – Clinical   | M                 | M              |             |
| 491-VE | Diagnosis Code Count     | 9(1)  | N    | Recommend value of "1"  | Q                 | Q              |             |
| 492-WE | Diagnosis Code Qualifier | X(2)  | A    | ØØ = Not Specified<br>Ø1 = International Classification of Diseases (ICD9)<br>Ø2 = International Classification of Diseases (ICD1Ø)<br><br>For service dates before 10/1/2015, use 01. For service dates on or after 10/1/2015, use 02.       | Q                 | Q              | (Repeating) |
| 424-DO | Diagnosis Code           | X(15) | A    | Up to 15 characters. Decimal points are explicit.<br><br>For service dates before 10/1/2015 and a value of 01 in field 491-WE, use ICD-9 codes.<br>For service dates on or after 10/1/2015 and value of 02 in field 491-WE, use ICD-10 codes. | Q                 | Q              | (Repeating) |



## 7.2 Encounter Reversal (Void) Submission (Input)

**\*\*NOTE: Only submit one encounter Reversal(Void) per transaction.**

### HEADER SEGMENT: Mandatory – Fixed Length Fields

| Field  | Field Name                         | PIC   | TYPE | VALUE  | FROM/TO |    | Requirement<br>NCPDP | Requirement<br>DHH | Comments |
|--------|------------------------------------|-------|------|--|---------|----|----------------------|--------------------|----------|
| 101-A1 | Bin Number                         | 9(6)  | N    | This is a constant of '610514'.  | 1       | 6  | M                    | M                  |          |
| 102-A2 | Version/Release Number ('D.0')     | X(2)  | A    | This is a constant of "D0". This field identifies the format of the transaction.   | 7       | 8  | M                    | M                  |          |
| 103-A3 | Transaction Code                   | X(2)  | A    | B1 = Reversals   | 9       | 10 | M                    | M                  |          |
| 104-A4 | Processor Control Number           | X(10) | A    | The processor control number indicates whether this is a test or production transaction.<br>Louisiana Medicaid POS Production Transaction - "LOUIPROD" followed by 2 blanks<br>Louisiana Medicaid POS Test Transaction - "LOUITEST" followed by 2 blanks | 11      | 20 | M                    | M                  |          |
| 109-A9 | Transaction Count                  | X(1)  | A    | <b>1 = Reversal (Void)</b>   | 21      | 21 | M                    | M                  |          |
| 202-B2 | Service Provider ID Qualifier      | X(2)  | A    | Constant of '01' National Provider ID (NPI)  | 22      | 23 | M                    | M                  |          |
| 201-B1 | Pharmacy Number                    | X(15) | A    | This will be a ten-digit National Provider ID (NPI) assigned to the billing pharmacy. Left-justify the field with trailing spaces.   | 24      | 38 | M                    | M                  |          |
| 401-D1 | Date of Service                    | 9(8)  | N    | CCYYMMDD format  | 39      | 46 | M                    | M                  |          |
| 110-AK | Software Vendor / Certification ID | X(10) | A    | BATCH-V = Void   | 47      | 56 | M                    | M                  |          |

**INSURANCE SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

| <i>Field</i> | <i>Field Name</i>      | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>NCPDP<br/>Requirement</i> | <i>DHH<br/>Requirement</i> | <i>Comments</i> |
|--------------|------------------------|------------|-------------|--|------------------------------|----------------------------|-----------------|
| 111-AM       | Segment Identification | X(2)       | A           | '04' – Insurance   | M                            | M                          |                 |
| 302-C2       | Cardholder ID          | X(20)      | A           | <b>13 digit recipient's Medicaid ID Number. Left-justify the field with trailing spaces.</b> | M                            | M                          |                 |

**CLAIM SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

| <i>Field</i> | <i>Field Name</i>                                 | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>NCPDP Requirement</i> | <i>DHH Requirement</i> | <i>Comments</i>                   |
|--------------|---|------------|-------------|--|--------------------------|------------------------|-----------------------------------|
| 111-AM       | Segment Identification                            | X(2)       | A           | '07' – Claim   | M                        | M                      | DHH requires value 07 = Claim.    |
| 455-EM       | Prescription / Service Reference Number Qualifier | X(1)       | A           | <b>Constant of "1" – Rx Billing</b>  | M                        | M                      | DHH requires value 1 = Rx Billing |
| 402-D2       | Prescription / Service Reference Number           | 9(12)      | N           | Twelve digit prescription number<br>The pharmacy's file number for this prescription.  | M                        | M                      |                                   |
| 436-E1       | Product/Service ID Qualifier                      | X(2)       | A           | Constant of "03" – National drug code (NDC)<br>(For compounds use a value of '00')   | M                        | M                      |                                   |
| 407-D7       | Product/Service ID                                | X(19)      | A           | Eleven character NDC number  | M                        | M                      |                                   |
| 442-E7       | Quantity Dispensed                                | 9(7)V999   | N           | Format = 9999999.999<br>9(7)V999<br>(For a compound, this is the quantity of the entire multi-ingredient product)  | R                        | R                      |                                   |
| 403-D3       | Fill Number                                       | 9(2)       | N           | 00 = Original dispensing<br>01-11 = Refill number  | R                        | R                      |                                   |
| 405-D5       | Days' Supply                                      | 9(3)       | N           | Format = 999<br>The pharmacist's estimated number of days the quantity dispensed will last. Express in whole days and right-justify with leading zeros.  | R                        | R                      |                                   |
| 406-D6       | Compound Code                                     | 9(1)       | N           | 0 = Not specified<br>1 = Not a compound<br>2 = Compound  | R                        | R                      |                                   |
| 408-D8       | Dispense as Written (DAW)                         | X(1)       | A           | 0 = No Product Selection Indicated<br>*1 = Substitution Not Allowed by Prescriber<br>2 = Substitution Allowed-Patient Requested Product Dispensed<br>3 = Substitution Allowed-Pharmacist Selected Product Dispensed<br>4 = Substitution Allowed-Generic Drug Not in Stock<br>5 = Substitution Allowed-Brand Drug Dispensed as a Generic<br>6 = Override<br>7 = Substitution Not Allowed-Brand Drug Mandated By Law<br>8 = Substitution Allowed-Generic Drug Not Available in Marketplace | R                        | R                      |                                   |

| <i>Field</i> | <i>Field Name</i>                   | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>NCPDP<br/>Requirement</i> | <i>DHH<br/>Requirement</i> | <i>Comments</i>  |
|--------------|-------------------------------------|------------|-------------|--|------------------------------|----------------------------|--|
|              |                                     |            |             | <p>**9 = Substitution Allowed By Prescriber but Plan Requests Brand - Patient's Plan Requested Brand Product To Be Dispensed (D.0)</p> <p>* '1' is required to override MAC pricing on a brand name drug.</p> <p>** '9' is required to allow the prescriber to substitute using the PDL brand product.</p> |                              |                            |  |
| 414-DE       | Date Prescription Written           | 9(8)       | N           | CCYYMMDD format  | R                            | R                          |  |
| 354-NX       | Submission Clarification Code Count | 9(1)       | N           | Maximum count of 3.  | R                            | R                          | <p>Required for Batch Encounter processing.</p> <p>Required if Submission Clarification Code (420-DK) is used.</p>   |
| 420-DK       | Submission Clarification Code       | 9(2)       | N           | 09 - Encounters<br>20 - 340B   | Q                            | R                          | <p>R: Code 09 must be submitted in the first occurrence for all NCPDP records.</p> <p>O: Submit code 20 in the second occurrence for 340B records.</p> <p>Occurs the number of times identified in Submission Clarification Code Count (354-NX).</p> |
| 600-28       | Unit of Measure                     | X(02)      | A/N         | EA - Each<br>GM -Gram<br>ML- Milliliter  | Q                            | R                          |  |

**COB/OTHER PAYMENTS SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.) Can be repeated (up to 4 per transmission) if more than one transaction is sent per encounter.

COB Reporting Instructions

Please use the following instructions for the sequence required to report COB data in NCPDP Batch Encounters:

- First COB Occurrence: MCO reporting the total amount paid as what the PBM paid the Pharmacy in **COB Segment field 431-DV of the NCPDP Encounter using 342-HC qualifier 07**. MCO Copay amount charged to the patient is also reported in 1<sup>st</sup> COB occurrence using 351-NP Other Payer-Patient Responsibility Amount Qualifier 05, and 352-NQ Other Payer-Patient Responsibility Amount, report zero if no copay was charged.
- Second COB Occurrence: Report the Primary TPL paid amount, if applicable, in COB Segment 431-DV of the NCPDP Encounter using 342-HC qualifier 07 to report the Drug Benefit amount not including Sales Tax. Primary TPL copay does not need to be reported in the encounter. If the pharmacy doesn't provide the LA Medicaid TPL Carrier Code for Other Insurance on Pharmacy Claim records sent to the PBM, then a default value of 000000 should be used as the 340-7C (Other Payer ID) value in the NCP Encounter record.
- Third COB Occurrence: Report the amount of Sales Tax for the TPL paid amount, if applicable, in COB Segment 431-DV of the NCPDP Encounter using 342-HC qualifier 10 to report the Sales Tax amount paid.

**\*\*Note:** Subtract all taxes and provider fees paid by the primary payer before calculating the maximum allowable cost when the MCO is the secondary payer. Taxes and provider fees paid by the primary payer should not be included in the amount considered for reimbursement.

***MCO ICN Format***

The MCO's ICN must be populated in field 993-A7 (Internal Control Number). The maximum number of characters that the FI can store is 30, which includes the 4-digit prefix. The ICN that the MCO transmits in this segment is echoed back to the submitter in the 835. This permits the MCO to use the value in this field as a key in their system to match the encounter back to the information returned in the 835 transaction.

DHH requires MCOs to modify their ICN to contain a 4-digit prefix as follows:

Character 1: Claim Submission Media Type

- “P” to indicate submission of claim via paper form
- “Q” to indicate submission of a value added service via paper form
- “E” to indicate submission of claim via electronic submission
- “F” to indicate submission of value added service via electronic submission
- “W” to indicate the submission of claim via web portal
- “V” to indicate the submission of value added service submitted via web portal.

**NOTE:** The MCO must provide a Data Dictionary if other media types are submitted.

Character 2: Claim Status

The MCO, and/or sub-contractor, must indicate the status of the claim for this character position as follows:

- “P” for paid encounters
- “D” for denied encounters

**NOTE:** The MCO, and/or sub-contractor, must indicate the status of the claim for this character position.

Character 3-4: Vendor (Sub-contractor) Information

The MCO determines a two character code for each of its vendors. The MCO must provide DHH with a Data Dictionary to identify the two character code and the full name of the vendor it represents. As vendors are added or deleted, DHH must be furnished with an updated Data Dictionary.

| <i>Field</i> | <i>Field Name</i>                            | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>  | <i>Requirement<br/>NCPDP</i> | <i>Requirement<br/>DHH</i> | <i>Comments</i>   |
|--------------|--|------------|-------------|---|------------------------------|----------------------------|---|
| 111-AM       | Segment Identification                       | X(2)       | A           | '05' – COB/Other Payments                                   | M                            | M                          | **Please see <a href="#">COB Reporting Instructions</a> at the beginning of this section. |
| 337-4C       | Coordination of Benefits/Other Payment Count | 9(1)       | N           | <b>Maximum of 3 accepted for Louisiana.</b> One digit only. | M                            | M                          |   |

| <i>Field</i> | <i>Field Name</i>                 | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>   | <i>Requirement<br/>NCPDP</i> | <i>Requirement<br/>DHH</i> | <i>Comments</i>   |
|--------------|-----------------------------------|------------|-------------|--|------------------------------|----------------------------|---|
| 338-5C       | Other Payer Coverage Type         | X(02)      | A           | <b>Maximum of 3 accepted for Louisiana</b><br>Blank=Not Specified<br>01 = First<br>02 = Second<br>03 = Third<br>04 = Fourth<br>05 = Fifth<br>06 = Sixth<br>07 = Seventh<br>08 = Eighth<br>09 = Ninth                                     | M                            | M                          | (Repeating)<br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section.  |
| 339-6C       | Other Payer ID Qualifier          | X(2)       | A           | <b>Maximum of 3 accepted for Louisiana</b>   | Q                            | R                          | (Repeating)<br>Please submit Louisiana specific Carrier Code with 99 Qualifier  |
| 340-7C       | Other Payer ID                    | X(10)      | A           | <b>Maximum of 3 accepted for Louisiana</b>   | Q                            | R                          | (Repeating)<br>Please send Louisiana assigned Carrier Code.<br><br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section.                |
| 443-E8       | Other Payer Date                  | 9(8)       | N           | <b>Maximum of 3 accepted for Louisiana</b><br>CCYYMMDD format  | Q                            | R                          | (Repeating)   |
| 993-A7       | Internal Control Number           | X(30)      | A           | Number assigned by the processor to identify an adjudicated encounter when supplied in payer-to-payer coordination of benefits only.   | Q                            | R                          | Required for Batch Encounter processing.  |
| 341-HB       | Other Payer Amount Paid Count     | 9(1)       | N           | <b>Maximum of 3 accepted for Louisiana</b>   | Q                            | R                          |   |
| 342-HC       | Other Payer Amount Paid Qualifier | X(2)       | A           | <b>Maximum of 3 accepted for Louisiana</b><br>Ø1 = Delivery<br>Ø2 = Shipping<br>Ø3 = Postage<br>Ø4 = Administrative<br>Ø5 = Incentive<br>Ø6 = Cognitive Service<br>Ø7 = Drug Benefit<br>Ø9 = Compound Preparation Cost<br>1Ø = Sales Tax | Q                            | R                          | (Repeating)<br>Please use 07=Drug Benefit for individual payments Required<br><br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section. |

| <i>Field</i> | <i>Field Name</i>                                   | <i>PIC</i>   | <i>TYPE</i> | <i>VALUE</i>   | <i>Requirement<br/>NCPDP</i> | <i>Requirement<br/>DHH</i> | <i>Comments</i>  |
|--------------|---|--------------|-------------|--|------------------------------|----------------------------|--|
| 431-DV       | Other Payer Amount Paid                             | S9(6)<br>V99 | N           | <b>Maximum of 3 accepted for Louisiana</b> Format s9(6)V99<br>It represents the dollar amount of payment known by the pharmacy from other sources. Format s\$\$\$\$cc, zero fill if no amount collected. | Q                            | R                          | (Repeating)<br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section.   |
| 471-5E       | Other Payer Reject Count                            | 9(2)         | N           | <b>Maximum of 5</b>  | Q                            | Q                          |  |
| 472-6E       | Other Payer Reject Code                             | X(3)         | A           | <b>Maximum of 5 accepted for each Other-Payer-ID Reject Code returned (Louisiana)</b>  | Q                            | Q                          | (Repeating)  |
| 353-NR       | Other Payer-Patient Responsibility Amount Count     | 9(02)        | N           | Maximum count of 25.   | Q                            | R                          | <b>* Required if Other Payer-Patient Responsibility Amount Qualifier (351-NP) is used.</b>   |
| 351-NP       | Other Payer-Patient Responsibility Amount Qualifier | X(02)        | A           | 05 = Amount of Copay   | Q                            | R                          | (Repeating)<br><b>* Required if Other Payer-Patient Responsibility Amount (352-NQ) is used.</b><br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section. |
| 352-NQ       | Other Payer-Patient Responsibility Amount           | S9(6)<br>v99 | N           | Format s9(6)V99  | Q                            | R                          | (Repeating)<br>**Please <a href="#">see COB Reporting Instructions</a> at the beginning of this section.   |



**PRICING SEGMENT: Required Segment**

(Each field will be preceded with a Field Separator and a Field Identifier.)

| <i>Field</i> | <i>Field Name</i>         | <i>PIC</i> | <i>TYPE</i> | <i>VALUE</i>  | <i>Requirement<br/>NCPDP</i> | <i>Requirement<br/>DHH</i> | <i>Comments</i> |
|--------------|---------------------------|------------|-------------|---|------------------------------|----------------------------|-----------------|
| 111-AM       | Segment Identification    | X(2)       | A           | '11' – Pricing  | M                            | M                          |                 |
| 409-D9       | Ingredient Cost Submitted | S9(6) V99  | N           | Format S9(6)V99<br>(For a compound, this is the sum of all individual ingredient costs) | R                            | R                          |                 |
| 430-DU       | Gross Amount Due          | S9(6) V99  | N           | Format S9(6)V99   | R                            | R                          |                 |