#### NOTICE OF INTENT

## Department of Health Bureau of Health Services Financing

## <u>Crisis Receiving Centers</u> <u>Licensing Standards</u> (LAC 48:I.Chapter 96)

The Department of Health, Bureau of Health Services

Financing proposes to amend LAC 48:I.Chapter 96 as authorized by

R.S. 36:254 and R.S. 40:2100-2115. This proposed Rule is

promulgated in accordance with the provisions of the

Administrative Procedure Act, R.S. 49:950 et seq.

The Department of Health, Bureau of Health Services

Financing proposes to amend the provisions governing the

licensing of hospital crisis receiving centers in order to adopt

provisions to allow free-standing psychiatric hospitals which do

not have dedicated emergency departments (EDs) to designate

crisis receiving center-specialty units (CRC-SUs) as EDs for

patients in need of psychiatric crisis treatment, if the CRC-SU

meets all of the same regulations as a hospital ED.

# Title 48 PUBLIC HEALTH-GENERAL

Part I. General Administration
Subpart 3. Licensing and Certification

Chapter 96. Hospitals-Crisis Receiving Centers

Subchapter A. General Provisions

§9601. Introduction

A. A <u>hospital</u> crisis receiving center is a specialty unit of a hospital that provides health care services to individuals who are experiencing a behavioral health crisis.

В. ...

## §9603. Licensure Requirements

- A. All crisis receiving center specialty units <a href="must-shall">must-shall</a> be licensed by the department and shall comply with the provisions of \$9333 of these hospital licensing standards.
- B. A crisis receiving center specialty unit (CRC-SU) shall have approval from the Office of Mental Behavioral Health (OMH) (OBH) and/or the appropriate human service district or authority before applying to become licensed as part of the hospital.
- C. Prior to securing licensure and operating the CRC-SU, the hospital shall submit architectural plans of the CRC-SU to the department's Division of Engineering Office of the State

  Fire Marshal (OSFM) for licensing approval.
  - D. F. ...
- G. If the CRC-SU is located at an offsite campus or is at a free-standing psychiatric hospital which does not have a dedicated emergency department, the CRC-SU shall be considered a dedicated emergency department. The CRC-SU shall comply with all EMTALA regulations if the unit meets one of the following criteria:

- the entity is licensed by the state as an emergency department of the hospital;
  - 2. 3. ...
- H. The following levels of a CRC-SU may be licensed as an optional service of the hospital:
  - 1. Level I CRC-SU only; andor
  - 2. Level I CRC-SU and Level II CRC-SU.
- I. A CRC-SU shall comply maintain compliance withwith
  the:
  - 1. Office of Public Health (OPH) regulations; and
  - 2. Office of State Fire Marshal regulations: and
  - 3. the physical plant requirements of this

Chapter. Repealed.

J. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:513 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

#### §9605. Licensing Process

- A. The hospital shall submit the following items to the department in order to add a CRC-SU to its existing license:
  - 1. ...

- 2. the appropriate required licensing fee, if applicable;
- 3. a copy of the prerequisite approval from OMHOBH and/or the appropriate human service district or authority; and
- 4. other documentation as required by the department, including a current Office of Public Health (OPH)/Sanitation approval, Division of Engineering approval and Office of State Fire Marshal approval for occupancy and licensing plan review.
  - B. C. ...
- 1. The sub-license/certificate shall designate the level of the CRC-SU and the  $\frac{1}{1}$  number of  $\frac{1}{1}$  beds licensed  $\frac{1}{1}$  capacity in the CRC-SU.
  - C.2. E. ...
- F. The sub-license/certificate shall be valid only for the designated geographicalgeographic location and shall be issued only for the person/premises named in the application. The geographicalgeographic location of the CRC-SU shall not be moved, changed, or relocated without notification to HSS, approval by HSS, and the re-issuance of the sub-license/certificate.
- G. The <u>sub-license/certificate shall not be transferable</u> or assignable. If the hospital undergoes a change of ownership, the new owning entity shall obtain written consent from OMH

and/or the appropriate human service district, and shall submit a new license application to the department for may conduct onsite surveys and inspections at the CRC-SU as necessary to ensure compliance with these licensing standards.

H. The department may conduct on-site surveys and inspections at the CRC-SU as necessary to ensure compliance with these licensing standards. Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:513 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

## §9607. Discharges, Referrals or Transfers

A. Patients that who are discharged home from the CRC-SU shall be given verbal and written discharge instructions and any referral information, including information for appointments regarding follow-up care and treatment.

B. - C. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:514

(March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

## §9609. Training Requirements

- A. A CRC-SU shall ensure that all staff providing direct patient care has documentation of <a href="mailto:successful completion">successful completion of</a> crisis services and intervention training in accordance with this Chapter.
- B. Crisis services and intervention training shall include, but is not limited to the following:
- 1. an organized training program that includes an initial 40 hours of training to be completed upon hire and a minimum of 12 hours of training to be completed annually thereafter. Required training includes, but is not limited to the following areas:
  - a. j. ...
- k. an overview of mental illness and substance abuse diagnoses and treatment;
  - 1. n. ...
- o. confidentiality and Health Insurance

  Portability and Accountability Act (HIPPAHIPAA) regulations; and

  p. ...
- C. All formal training shall be provided by <u>a licensed</u> mental health professional (LMHP) or other qualified <u>licensed</u> behavioral health personnel with extensive experience in the

field in which they provide training. Nonviolent physical interventions shall be taught by a trainer with documented current certification by a nationally established crisis intervention program (e.g. Crisis Prevention and Intervention, Tactical Crisis Intervention, Crisis Intervention Training, etc.).

- 1. An LMHP is an individual who is currently licensed to practice independently and in good standing in the state of Louisiana to practice within the scope of all applicable state laws, practice acts, and the individual's professional license, as one of the following:
  - a. medical psychologist;
    - b. licensed psychologist;
      - c. licensed clinical social worker (LCSW);
        - d. licensed professional counselor (LPC);
  - e. licensed marriage and family therapist

(LMFT);

- f. licensed addiction counselor (LAC);
- g. advance practice registered nurse (APRN); or
  - h. licensed rehabilitation counselor (LRC).
- D. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:514 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

#### Subchapter B. Level I Crisis Receiving Centers

#### §9615. General Provisions

- A. ...
- B. The length of a patient stay for a Level I CRC-SU shall not exceed 24 hours, unless there is documented evidence of the CRC-SU's measures taken to transfer the patient to the appropriate level of needed care and the reasons the transfer of the patient exceeds 24 hours.
- C. Services required of a Level I CRC-SU include, but are not limited to:
  - 1. 2. ...
- 3. assessment services, including medication
  management;
  - 4. brief intervention and stabilization; and
  - 5. ...
- D. The Level I CRC-SU shall develop and implement policies and procedures for instituting an increased level of supervision for patients at risk for suicide and other self injurious self-injurious behaviors.
  - E. F. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:514 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

#### §9617. Level I Services

- A. B.3. ...
  - 4. The triage/screening shall include:
    - a. e. ...
- f. a medical screening including at a minimum, vital signs and a medical history, whenever possible as soon as the patient's condition permits.
- 5. The triage/screening shall be conducted by licensed professionals in the medical or behavioral health fields that have the training and experience to <a href="triage/screen">triage/screen</a> individuals for both behavioral and medical emergent needs in accordance with the scope of practice of their licensed discipline.
  - B.6. C.2. ...
- 3. The assessment shall be initiated within two hours of the triage/screening evaluation and shall include:
- a. a full behavioral healthpsychiatric
  assessment;

b. - c. ...

- 4. A full behavioral healthpsychiatric assessment shall include:
- a. patient interviews by board certified/eligible <a href="licensed">licensed</a> psychiatrist(s) or psychiatric nurse <a href="practitioner(s)">practitioner(s)</a> trained in emergency psychiatric assessment and treatment;
- b. a review of the medical and psychiatric records of current and past diagnoses, treatments, medications and dose response, side-effects and compliance, if available;
- c. contact with current mental behavioral
  health providers whenever possible;

d. - g. ...

- h. a detailed assessment of substance use, abuse $\neq$ , and misuse $\rightarrow$ ; and
- i. an assessment for possible abuse and neglect; such assessment shall be conducted by an LMHP trained in how to conduct an assessment to determine abuse and neglect.

  The CRC-SU shall ensure that every patient is assessed for sexual, physical, emotional, and verbal abuse and/or neglect.
- 5. All individuals shall see a be seen by a licensed psychiatrist or a licensed APRN within eight hours of the triage/screening. The board certified/eligible psychiatrist or

<u>APRN</u> shall formulate a preliminary psychiatric diagnosis based on review of the assessment data collected.

- a. The APRN must be a nurse practitioner

  specialist in adult psychiatric and mental health, family

  psychiatric and mental health, or a certified nurse specialist

  in psychosocial, gerontological psychiatric mental health, adult

  psychiatric and mental health, or child-adolescent mental health

  and may practice to the extent that services are within the

  APRN's scope of practice.
- 6. A physical health assessment shall be conducted by a licensed physician, <u>licensed advanced</u> nurse practitioner, or <u>a licensed</u> physician's assistant and shall include the following:
  - a. d. ...
- e. pregnancy test in all <a href="fertile">fertile</a> women of child-bearing age, as applicable;
  - f. h. ...
- 7. An assessment for possible abuse and neglect shall be conducted (at the minimum) by a crisis worker trained in how to conduct an assessment to determine abuse and neglect. The CRC-SU must ensure that every patient is assessed for sexual, physical, emotional, and verbal abuse and/or neglectRepealed.
  - D. Brief Intervention and Stabilization

- 1. If an assessment reveals that immediate stabilization services are required, the Level I CRC-SU shall provide behavioral health interventions and stabilization which may include the use of psychotropic medications—which can be administered and benefits generally realized within a 24-hour period.
- 2. Following behavioral health interventions and stabilization measures, the Level I CRC-SU shall assess the patient to determine if referral to community based behavioral health services is appropriate; or a higher level of care is required.

#### E. Linking/Referral Services

- 1. If an assessment reveals a need for emergency or continuing care for a patient, the Level I CRC-SU shall make arrangements to place the patient into the appropriate higher level of care. Patients in a Level I CRC-SU shall be transitioned out of the Level I CRC-SU within 24 hours unless there is documented evidence of the CRC-SU's measures taken to transfer the patient to the higher level of needed care and the reasons the transfer of the patient exceeds 24 hours.
- 2. If the assessment reveals no need for a higher level of care, the Level I CRC-SU shall provide:
- a. referrals, and make appointments where possible, to appropriate community-based behavioral health

services for individuals with developmental disabilities, addiction disorders, and mental health issues; and

b. brief behavioral health interventions to stabilize the crises until referrals to appropriate community-based behavioral health services are established or contact is made with the individual's existing provider and a referral is made back to the existing provider in the form of a follow-up appointment or other contact.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:515 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

#### §9619. Staffing Requirements

- A. B.2. ...
- C. A Level I CRC-SU shall have the following staff on call at all times and available to be onsite at the CRC-SU within one hour and who meets the following criteria:
- 1. <u>is a behavioral licensed mental health</u>

  professional (LMHP) counselor who meets the following criteria:

  has one year of documented crisis services and intervention

  experience; or

a. has a master's degree in psychology, social
work or counseling;

b. has one year of experiencein the field of behavioral health; and

c. has documented crisis services and intervention training in accordance with this Chapter; a. - c.

C.2. - E. ...

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:516 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

#### §9621. Physical Environment

A. - C. ...

- D. Interior finishes, lighting, and furnishings shall suggest a residential, rather than institutional setting, while conforming conform to applicable fire safety codes. Security and safety devices shall not be presented in a manner to attract or challenge tampering by patients.
- E. Grab bars, if provided, <u>must\_shall\_meet the following</u> specifications:

- 3. must\_shall be securely fastened with tamper-proof
  screw heads;
  - 4. ...
- 5. if mounted adjacent to a wall, the space between the wall and the grab bar shall be one and one-half inchesfilled completely to prevent a cord or string being tied around the grab bar and used for hanging.
- F. Towel racks, closet and shower curtain rods, if provided, must be the breakaway type are not permitted.
  - G. M.2. ...
- 3. The doors on the <a href="bathroom/">bathroom/</a> toilet rooms shall swing out or be double hinged.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:516 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

## Subchapter C. Level II Crisis Receiving Centers

#### §9631. General Provisions

A. A Level II CRC-SU is an intermediate level of care unit that provides for:

6. an appropriate referral <u>and coordination of care</u> for extended services as necessary.

B. - E. ...

F. The beds licensed capacity in a Level II CRC-SU shall not be licensed as hospital beds and shall not be counted in the aggregate number of licensed hospital beds.

G. - K.1. ...

L. The Level II CRC-SU shall develop and implement policies and procedures for instituting an increased level of supervision for patients at risk for suicide and other self injurious self-injurious behaviors.

M. - M.1. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:517 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

## §9633. Level II Services

A. In addition to the services required in §9617 of this Chapter, the Level II CRC-SU <u>must\_shall\_provide</u> the following services.

1. - 3.c. ...

4. The Level II CRC-SU shall conduct a psychosocial assessment on each patient within 24 hours of admission. This assessment shall be conducted by a÷licensed LMHP who has one year of documented crisis services and intervention experience.

a. behavioral health counselor who has:

i. a master's degree in psychology, social work or counseling;

ii. one year of experience in the field of behavioral health; and

<u>iii. training in crisis services and</u> <u>intervention.a. - a.iii. Repealed.</u>

5. - 5.q. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:518 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

### §9635. Staffing Requirements

A. - A.1. ...

2. The Level II CRC-SU shall have sufficient numbers and types of qualified staff on duty and available at all times to provide necessary care, services, treatment and safety, based on the acuity of the patients, the mix of the patients present

in the CRC-SU, and the need for extraordinary levels of care and to meet the needs of the patient throughout the length of any patient stay in the CRC-SU.

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:518 (March 2010), amended by the Department of Health, Bureau of Health Services Financing, LR 45:

## §9637. Physical Environment

- A. F. ...
- G. Bathrooms
- 1. The Level II CRC-SU shall have a minimum of two toilet rooms bathrooms that contain all of the following:
  - a. c.i. ...
- 2. If the Level II CRC-SU has more than a capacity for 12 patient patients beds, there shall be one additional bathroom for each additional capacity for four beds patients.
  - 3. 4. ...
- H. The Level II CRC-SU shall have a toilet room separate bathroom and a break room designated for staff use.
- I. Separate and apart from the seclusion room required in a Level I CRC-SU, the Level II CRC-SU shall have a minimum of one seclusion room for every each capacity for 12-beds patients.

1. - 2. ...

J. The Level II CRC-SU shall have separate consultation room(s) with a minimum floor space of 100 square feet each, provided at a room-to-bed ratio of one consultation room for each <u>capacity for 12 bedspatients</u>. Consultation rooms within the unit shall be <u>used\_available for use for interviews with the patient and/or their families. The <u>consultation room(s)</u> shall be designed for acoustical and visual privacy.</u>

K. - M. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 40:2100-2115.

HISTORICAL NOTE: Promulgated by the Department of Health and Hospitals, Bureau of Health Services Financing, LR 36:518

(March 2010) amended by the Department of Health, Bureau of Health Services Financing, LR 45:

In compliance with Act 1183 of the 1999 Regular Session of the Louisiana Legislature, the impact of this proposed Rule on the family has been considered. It is anticipated that this proposed Rule will have a positive effect on the stability of the family functioning and autonomy as described in R.S. 49:972 by ensuring that recipients in need of psychiatric crisis treatment have increased access to appropriate services without delay and ensuring the safe and effective operation of hospital crisis receiving center specialty units.

In compliance with Act 854 of the 2012 Regular Session of the Louisiana Legislature, the poverty impact of this proposed Rule has been considered. It is anticipated that this proposed Rule will have a positive effect on child, individual, or family poverty in relation to individual or community asset development as described in R.S. 49:973 by ensuring that recipients in need of psychiatric crisis treatment have increased access to appropriate services.

In compliance with House Concurrent Resolution (HCR) 170 of the 2014 Regular Session of the Louisiana Legislature, the provider impact of this proposed Rule has been considered. It is anticipated that this proposed Rule will have no impact on the staffing level requirements or qualifications required to provide the same level of service, no direct or indirect cost to the provider to provide the same level of service, and will have no impact on the provider's ability to provide the same level of service as described in HCR 170.

Interested persons may submit written comments to Cecile Castello, Health Standards Section, P.O. Box 3767, Baton Rouge, LA 70821 or by email to MedicaidPolicy@la.gov. Ms. Castello is responsible for responding to inquiries regarding this proposed Rule. The deadline for submitting written comments is at close of business, 4:30 p.m., on March 1, 2019.

Interested persons may submit a written request to conduct a public hearing either by U.S. mail to the Office of the Secretary ATTN: LDH Rulemaking Coordinator, Post Office Box 629, Baton Rouge, LA 70821-0629, fax to (225) 342-5568, or email to LDHRulemaking@la.gov; however, such request must be received no later than 4:30 p.m. on February 9, 2019. If the criteria set forth in R.S. 49:953(A)(2)(a) are satisfied, LDH will conduct a public hearing at 9:30 a.m. on February 28, 2019 in Room 118 of the Bienville Building, which is located at 628 North Fourth Street, Baton Rouge, LA. To confirm whether or not a public hearing will be held, interested persons should first call Stanley Bordelon at (225) 219-3454 after February 9, 2019. public hearing is to be held, all interested persons are invited to attend and present data, views, comments, or arguments, orally or in writing. In the event of a hearing, parking is available to the public in the Galvez Parking Garage which is located between North Sixth and North Fifth/North and Main Streets (cater-corner from the Bienville Building). Validated parking for the Galvez Garage may be available to public hearing attendees when the parking ticket is presented to LDH staff at the hearing.

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Secretary